

Docket No. 9847-0036-6X PCT  
ENKEL 8295



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ERLAND SORENSEN ET AL

SERIAL NO: 09/508,685

GAU: 2834

RCE FILED: HEREWITH

EXAMINER: Perez, G.

FOR: ROTARY ELECTRIC MACHINE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

The applicant(s) wish to make of record the attached pending application Serial No. 09/541,523, and copies of the references cited by the Examiner in an Office Action in application Serial No. 09/541,523. These references are believed to be relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 filed February 17, 1999 to the Patent Office consistent with the requirements in the Response to Petition.

A check is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application.

A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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OFFICE OF PETITIONS

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 9847-0036-6X PCT		SERIAL NO. 09/508,685	
O I P E AUG 28 2002 TRADEMA JC98 RECEIVED SEARCHED INDEXED FILED		LIST OF REFERENCES CITED BY APPLICANT		APPLICANT ERLAND SORENSEN ET AL			
		FILING DATE REC FILED HEREWITH		GROUP 2834			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3,820,048	6/74	Ohta et al			
	AB	4,326,181	4/82	Allen			
	AC	4,552,990	11/85	Persson et al			
	AD	4,663,603	5/87	van Riemsdijk et al			
	AE	5,175,396	12/92	Emery et al			
	AF	5,239,146	3/94	Aosaki et al			
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		

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### LIST OF RELATED CASES

<u>DOCKET NO.</u>	<u>SERIAL NO.</u>	<u>STATUS</u>
PER CLIENT	09/541,523	PENDING

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TECHNOLOGY CENTER 2806

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OFFICE OF PETITIONS

09/508685

Application Number

09/147,325

INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PTO-144  
(Corrected Listing of Original List)

Corrected 03/20/00

Docket Number:

9847-0001-6X PCT

Applicant(s):

MATS LEIJON ET AL

Filing Date:

FEBRUARY 17, 1999

416 Rec'd PCT/PTO

28 MAR 2000

Group Art Unit:

2834

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
1	US1304451	5/20/19	L. H. Burnham			
2	US1418856	6/2/22	Robert B. Williamson			
3	US1481585	1/22/24	James Robert Beard			
4	US1728915	9/24/29	E. P. Blankenship et al			
5	US1742985	1/7/30	L. H. Burnham			
6	US1747507	2/18/30	Robert B. George			
7	US1756672	4/29/30	John M. Barr			
8	US1762775	6/10/30	Albert G. Ganz			
9	US1781308	11/11/30	Mauritz Vos			
10	US1861182	5/31/32	F. Hendey et al			
11	US1974406	9/25/34	Vincent G. Apple et al			
12	US2006170	6/25/35	Gustof A. Juhlin			
13	US2206856	7/2/40	W. E. Shearer			
14	US2217430	10/8/40	R. A. Baudry			
15	US2241832	5/13/41	H.W. Wahlquist			
16	US2251291	8/5/41	L. O. Reichelt			
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18	US2295415	9/8/42	G.R. Monroe			
19	US2415652	2/11/47	R. B. Norton			
20	US2424443	7/22/47	B. C. Evans			
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24	US2462651	2/22/49	H. W. Lord			
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42	US3354331	11/21/67	H. L. Broeker et al			
43	US3365657	1/23/68	James Webb			
44	US3372283	5/5/68	A. A. Jaecklin			

Examiner

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INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PTO-1449  
(Corrected Listing of Original List)

09/508685

470 recd PCT/PTO 28 MAR 2000

45	US3418530	11/24/68	W. H. Cheever		
46	US3435262	3/25/69	R. B. Bennett et al		
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48	US3444407	5/13/69	E.S. Yates		
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INFORMATION DISCLOSURE CITATION LIST  
ALTERNATE FORM PTO-1449  
(Corrected Listing of Original 1149)

09/508685

10 Proc/CT/PTO 28 MAR 2000

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145	US4369389	1/18/83	D. Lambrecht
146	US4371745	2/1/83	M. Sakashita
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Examiner	Date Considered
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## INFORMATION DISCLOSURE CITATION LIST

## ALTERNATE FORM PTO-1449

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09/508685

710 Rec'd CT/PTO

28 MAR 2000

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	152	US4425521	1/10/84	G. Rosenberry, Jr. et al			
	153	US4426771	1/24/84	D. Wang et al			
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	191	US4795933	1/3/89	K. Sakai			
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Examiner

Date  
Considered

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## INFORMATION DISCLOSURE CITATION LIST

ALTERNATE FORM PTO-1449

(Corrected Listing of Original 16 Dec'd 1999 PTO)

09/508685

4/16 Dec'd 1999 PTO

28 MAR 2000

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218	US5097241	3/17/92	E. Smith et al			
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Examiner

Date

Considered

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## INFORMATION DISCLOSURE CITATION LIST

ALTERNATE FORM PTO-1449

(Corrected Listing of Original List)

09/508685

4-6 Recd PTO/PTO 28 MAR 2000

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	259	US681800	9/3/01	O. Lasche			
<b>Subtotal</b>	<b>259</b>						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
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2	BE565063	2/23/57	Belgium		
3	CH391071	4/30/65	Switzerland		
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9	DE1638176	6/24/71	Germany		
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	21	OD021	Der Asynchronmotor als Antrieb stopfbuchloser Pumpen; E. Picmaus; Eletrotechnik und Maschinenbau No. 78, pp153-155, 1961
	22	OD022	Low core loss rotating flux transformer; R. F. Krause, et al; American Institute Physics J.Appl.Phys Vol 64 #10 11/1988, pp5376-5378
	23	OD023	An EHV bulk Power transmission line Made with Low Loss XLPE Cable; Ichihara et al; 8/92; pp3-6
	24	OD024	Underground Transmission Systems Reference Book; 1992;pp16-19; pp36-45; pp67-81
	25	OD025	Power System Stability and Control; P. Kundur, 1994; pp23-25;page 767
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	29	OD030	Permanent Magnet Machines; K. Binns; 1987; pp 9-1 through 9-26
	30	OD031	Hochspannungsanlagen for Wechselstrom; 97. Hochspannungsaufgaben an Generatoren und Motoren; Roth et al; 1938; pp452-455
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	33	OD034	Advanced Turbine-generators- an assessment; A. Appleton, et al; International Conf. Proceedings, Lg HV Elec. Sys. Paris, FR, Aug-Sept/1976, Vol I, Section 11-02, pg1-9
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	42	OD043	A study of equipment sizes and constraints for a unified power flow controller; J Bian et al; IEEE 1996
<b>Subtotal</b>	<b>43</b>		

<b>GRAND</b>	<b>671</b>		
<b>TOTAL</b>			

Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

09/508685

INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO		Docket Number: 9847-000146X PCT	Application Number 09/147,325
Issue 2: dated 02/21/00		Applicant(s): MATS LEIJON ET AL	
		Filing Date: FEBRUARY 17, 1999	Group Art Unit: 2834

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
1		US 4,292,558	9/29/1981	Carl Flick et al			
2		US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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44-3 Rec'd PCT/PTO 28 MAR 2000

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
2	GB 1,322,433	7/4/1973	Siemens Akstiengesellschaft		
3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
5	WO 98/20602	5/14/1998	Soren Berggren		
6	WO 98/34239	8/6/1998	Gunnar Steneorpsgatan et al		
7	WO 99/28922	6/10/1999	Thorsten Schulte et al		
8	WO 99/29005	6/10/1999	Mats Leijon et al		
9	WO 99/29023	6/10/1999	Peter Carstensen et al		
10	WO 99/29025	6/10/1999	Mats Leijon et al		
11	EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt		
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INFORMATION DISCLOSURE CITATION  
ALTERNATE FORM PTO-1449

Issue2: dated 02/21/00

413 Recd PCT/PTO 28 MAR 2000

## OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

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